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| <b>TRANSMITTAL FORM</b><br><br><i>(to be used for all correspondence after initial filing)</i> | Application Number     | 10/038,403 |
|  | Filing Date            | 01/02/2002 |
|  | First Named Inventor   | Coles      |
|  | Art Unit               | 1762       |
|  | Examiner Name          | Unassigned |
| Total Number of Pages in This Submission   | Attorney Docket Number | 24141761.3 |

**ENCLOSURES (Check all that apply)**

|  |  |   |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>SBO8A w/references<br>Postcard Acknowledgement |
| Remarks _____  |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |  |  |  |
|-------------------------|--|--|--|
| Firm or Individual name | William D. McSpadden<br>Baker & McKenzie |  |  |
| Signature               | <i>William D. McSpadden</i>              |  |  |
| Date                    | 09/26/2003                               |  |  |

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|                       |                             |      |            |
|-----------------------|-----------------------------|------|------------|
| Typed or printed name | William D. McSpadden        |      |            |
| Signature             | <i>William D. McSpadden</i> | Date | 09/26/2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1762



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |   |                      |
|--|---|----------------------|
| In re Application of:  | ) | Group Art Unit: 1762 |
| Raymond W. Coles   | ) |                      |
| Serial No.: 10/038,403   | ) | Examiner: Unassigned |
| Filed: January 2, 2002   | ) |                      |
| For: <b>Method of Forming an Image,<br/>and to a Product Having and<br/>Image Formed Thereon</b> | ) |                      |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached Form PTO-SB/08A. One copy of the listed documents is submitted herewith.

X  (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is being filed (check all that apply):

\_\_\_\_ (a) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); **OR**

\_\_\_\_ (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; **OR**

X  (c) Before the mailing of a first Office action on the merits; **OR**

\_\_\_\_ (d) Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.



\_\_\_\_ (2) This information disclosure statement is filed before the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and (check only one):

\_\_\_\_ (a) Is accompanied by the fee set forth in § 1.17(p); **OR**

\_\_\_\_ (b) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

\_\_\_\_ (c) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

\_\_\_\_ (3) This Information disclosure statement is filed on or before payment of the issue fee and is accompanied by the fee set forth in § 1.17(p); **AND** (check only one):

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\_\_\_\_ (b) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual

designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 13-0480 (please reference 24141761.3).

Respectfully submitted,

A handwritten signature in black ink, reading "William D. McSpadden", is written over a horizontal line.

William D. McSpadden  
Reg. No. 44,234  
Attorney for Applicant

September 26, 2003

**BAKER & McKENZIE**  
2300 Trammell Crow Center  
2001 Ross Avenue  
Dallas, TX 75201  
(214) 978-3040 (direct)  
(214) 978-3099 (facsimile)

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|------------------------|------------|
| Application Number     | 10/038,403 |
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| First Named Inventor   | Coles      |
| Art Unit               | 1762       |
| Examiner Name          | Unassigned |
| Attorney Docket Number | 24141761.3 |

[illegible]

| FOREIGN PATENT DOCUMENTS |                       |   |                  |   |   |                |
|--------------------------|-----------------------|---|------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |                |
|                          |                       | EP 0407615 A1   | 01-16-1991       | Yamauchi et al.                                 |   |                |
|                          |                       | WO 96/30215   | 10-03-1996       | Baxendale                                       |   |                |
|                          |                       | DE 29622700 U1  | 07-17-1997       | Louis   |   |                |
|                          |                       |   |                  |   |   |                |
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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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